

A METHOD AND APPARATUS FOR PRODUCING INFORMATION REGARDING  
THE OPERATION OF A NETWORKED SYSTEM

5   **Technical Field**

        This invention relates to a method and apparatus for producing information regarding the operation of a networked system.

10   **Background to the Invention**

        In a networked system, such as a networked computer system or a communications system, various entities are connected to a network. Communication between the entities can be established across the network. If  
15   problems occur with the network, such as failure of network infrastructure equipment, or overloading of the network, then this can cause degradation or loss of communication between entities communicating across the network. This can be costly for businesses who rely on  
20   these networks for the conduct of their day to day business. It is important that such problems are avoided, or rectified as quickly as possible.

        An illustrative example of the above described problem arises in the operation of an IP telephony system.  
25   In an IP telephony system, TCP/IP enabled telephones are connected to a network. The network utilised can be an existing LAN which is already used for other tasks, such as networking a group of computers. This means that a telephone system can be installed in a premises that has a  
30   pre-existing network, and the telephones are configured to operate over the existing network. This allows a telephone system to be installed requiring significantly less wiring than a traditional telephone system, which

requires wiring to be installed for the sole purpose of connecting the telephones to a local telephone exchange such as a PABX.

In an IP telephony system, calls made between  
5 telephones connected to the same LAN are established as communication paths between the telephones across the LAN. These communications paths are established by a system controller which controls operation of the system. This controller is typically in the form of a file server  
10 computer connected to the network. If a call is required to be made to a telephone not connected to the LAN, then the communication path may be routed across a WAN, or may be made via a gateway which establishes a connection to a traditional telephone network, such as a Public Switched  
15 Telephone Network ("PSTN").

In a traditional telephone system, the originating telephone and the answering telephone remain connected by a permanent circuit for the duration of the call. The data transmission capability of the circuit is sufficient  
20 to carry a voice signal at an acceptable call quality. In contrast, during a telephone call in an IP telephony system, there exists no direct circuit connection between the originating and answering telephones. In the case of two telephones connected to the same network, the voice  
25 signal is encoded into data packets by one of the IP enabled telephones which are then transmitted across the network to the other telephone. The other telephone unencodes the received packets to reproduce the voice signal for the listener. This is a two way process to  
30 allow both call participants to speak and listen simultaneously.

In the case where the call is routed through a gateway to a PSTN, the data packets are transferred to or

from the circuit switched PSTN by the gateway, which acts as an interface between the two systems.

The data packets, originating from either a gateway or an IP telephone, share the network with other data packets being sent and received by other entities connected to the network. These other entities can include other IP telephones, personal computers and other networked devices. During times of heavy network traffic, the packets sent and received by IP telephones may be held up due to bottlenecks caused by the overall level of network traffic. This can result in a depletion of the performance of the IP telephony system such as a drop in call quality experienced by the user, long delays in obtaining a dial tone, and even the dropping out of calls in progress. This disruption to the telephone service can be very costly in business. It is also frustrating to users who have come to expect trouble free telephone operation from traditional telephone systems.

Disruption to the system is similarly caused by delays in operation of the system controller. This could be due to various causes including a heavy demand on the controller, or that the controller has inadequate processing capacity to cope with normal demand.

Managing a networked system goes some way to avoiding, or assisting speedy resolution of, problems that occur with the system. These systems have been managed by reviewing activity logs created by entities connected to the network. However, these activity logs only give an indication of past operational activity and hence their usefulness in timely management of the network is limited.

### **Summary of the Invention**

In a first aspect the present invention provides a

method of producing operational information regarding the operation of a networked system, the system including entities arranged to communicate over the network, the method including the steps of: obtaining system

5 configuration information regarding the configuration of the system; continuously monitoring activity of the system to obtain system activity information; and combining the system configuration information with the system activity information to obtain real time operational information.

10 By this method, real-time information regarding the operation of the system is produced. This information can inform a system administrator of the current operational conditions of the system to allow them to take appropriate corrective action, if needed.

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The system configuration information may include details of the topology of the system.

The system configuration information may be obtained from a controller of the networked system.

20 The system activity information may be obtained by monitoring network traffic.

The network traffic monitored may be that addressed to or from the controller.

25 The network traffic may be monitored at a node of the network which connects the controller to the network.

The method may further include the step of combining entity status information with the system configuration information and the system activity information.

30 The entity status information may be obtained by interrogating an entity connected to the network.

The address of the interrogated entity may be obtained from the system activity information.

The system may be a multi-media communications

system.

The system activity information may include call signalling data.

The entities may include multi-media devices.

5 The entities may include IP telephones or gateways.

The operational information produced may include information regarding current calls.

The information regarding current calls may include quality of service.

10 The operational information produced may include information regarding current status of devices.

The operational information produced may include information regarding route availability.

15 The operational information produced may include the delay to obtaining a dial tone.

The method may further include the step of controlling the system based on the operational information.

20 In a second aspect the present invention provides an apparatus for producing operational information regarding the operation of a networked system, the system including entities arranged to communicate over the network, the apparatus including: obtaining means for obtaining system  
25 configuration information regarding the configuration of the system; monitoring means for continuously monitoring activity of the system to obtain system activity information; and combining means for combining the system configuration information with the system activity  
30 information to obtain real time operational information.

The system configuration information may include details of the topology of the system.

The apparatus may be arranged to obtain system configuration information from a controller of the networked system.

The monitoring means may be arranged to obtain system  
5 activity information by monitoring network traffic.

The network traffic monitored may be that addressed to or from the controller.

The monitoring means may be arranged to monitor network traffic at a node of the network which connects a  
10 controller of the networked system to the network.

The combining means may be further arranged to combine entity status information with the system configuration information and the system activity information.

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delay to obtaining a dial tone.

The apparatus may further include control means for controlling the system based on the operational information.

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In a third aspect the present invention provides a computer program arranged to instruct a computing system to implement a method in accordance with the first aspect of the invention.

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In a fourth aspect the present invention provides a computer program arranged to instruct a computer system to operate as an apparatus in accordance with the second aspect of the invention.

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In a fifth aspect the present invention provides a computer readable medium providing a software program according to the third aspect of the invention.

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In a sixth aspect the present invention provides a computer readable medium providing a software program according to the fourth aspect of the invention.

System configuration information includes information  
25 regarding the configuration of a system. This includes,  
but is not limited to, information about the topology of  
the system such as the identity of devices connected by  
the network and whether they are presently connected to  
the network, and how they are connected to the network and  
30 their addresses on the network. It also includes  
information about how the system should react to  
particular conditions, such as routing of traffic on the  
network.

System activity information includes information regarding activity of the system. This includes, but is not limited to, information derived from use of devices  
5 connected to the system that requires them to communicate by way of the network. This can include commands or instructions sent or received by entities, and data sent to or from entities.

10 Entity status information includes information regarding the status of entities connected to the network. This includes, but is not limited to, capabilities of an entity, and current operating conditions of an entity. Entity status information may be obtained by interrogating  
15 a particular entity and receiving a response.

#### **Brief Description of the Drawings**

An embodiment of the present invention will now be described, by way of example only, with reference to the  
20 accompanying drawings, in which:

Figure 1 is a schematic view of a known IP telephony system;

Figure 2 is a schematic view of the IP telephony system of figure 1 modified to include an apparatus  
25 according to an embodiment of the present invention;

Figure 3 is a schematic overview of a method according to an embodiment of the invention;

Figure 4 is a list of call legs produced by an embodiment of the invention;

30 Figure 5 is a list of real time calls produced by an embodiment of the present invention;

Figure 6 is a list of devices produced by an embodiment of the present invention;



Figure 7 illustrates quality of service parameters for a particular IP telephone produced by an embodiment of the present invention;

Figure 8 is an overview of route availability  
5 produced by an embodiment of the present invention;

Figure 9 is a list of endpoints for a gateway produced by an embodiment of the present invention; and

Figures 10 and 11 are overviews of operational information regarding an IP telephony cluster produced by  
10 an embodiment of the present invention.

#### **Detailed Description of the Preferred Embodiment**

Referring to Figure 1, a known IP telephony system is shown. The system includes a collection of entities  
15 connected to a network in the form of LAN 12. The entities include multi-media devices including IP telephones 14,16 and gateway 18. The gateway could be either of an H.323 or MGCP gateway. The multi-media devices are the end points within the call system that  
20 actually perform the input/output operation on voice and video media.

The system further includes a controller in the form of system controller 20. System controller 20 is the central processing unit of IP telephony network 10. It is  
25 embodied as a network file server computer running suitably configured software. Depending upon the size of the system, a cluster of computers may be used. System controller 20 includes a data repository which holds system configuration information regarding IP telephony  
30 system 10. The system configuration information includes details of the topology of the network, and information that informs system controller 20 how to process telephone calls made by use of the system 10 by establishing

connections between the multi media devices. It further includes details of whether a physical multi-media device has actually been installed to handle calls for a particular telephone extension number. Depending upon the size and geographical distribution of system 10 there may be more than one system controller 20.

Telephone calls are established via signalling that runs across LAN 12. For instance, if the receiver of IP telephone 14 is lifted then a signal is sent by IP telephone to system controller 20 indicating that the receiver has been lifted. System controller 20 operates to provide a dial tone to IP telephone 14 to indicate to the user that they may place a call. Digits pressed on IP phone 14 to dial a number are individually processed by system controller 20. The system configuration information includes routing information that instructs system controller 20 how to route a call, based on the digits dialled. If the user dials the number that identifies IP telephone 16 then system controller 20 establishes communication between IP telephones 14 and 16. If the number dialled relates to a destination device not connected to the LAN 12, system controller 20 routes the call via gateway 18 which interfaces with another telephone system.

Referring to figure 2, the system of Figure 1 is shown modified to include an apparatus in accordance with an embodiment of the invention. The apparatus includes obtaining means embodied in software program 26 that is arranged to obtain configuration information from the data repository of system controller 20. The apparatus further includes monitoring means in the form of a combination of Network Monitoring Driver (NMD) 22 and Network Monitoring Process (NMP) 24. NMD 22 runs on System controller 20 and

intercepts each signalling packet that enters and exits the network node by which the controller 20 is attached to the network. NMP 24 filters the packets and synthesises system activity information 27 which includes call  
5 signalling data from multi-media devices such as IP phones and gateways. The system activity information is sent to file server computer 25 which is connected to LAN 12 and is running software program 26. Combining means is embodied in software 26 and operates to combine the  
10 configuration information with the system activity information in real time to produce operational information regarding operation of the system.

Referring to Figure 3, an overview of the operation of the software program 26 is shown. Software program 26  
15 obtains system configuration information 29 from the data repository of system controller 20. The system configuration information 29 is obtained upon initialisation of the software program 26. The system configuration information 29 remains constant during  
20 operation of system 10 until the configuration of system 10 is altered such as by the addition or removal of multi-media devices. Updated system configuration information is obtained by software program 26 to allow for changes in the configuration of system 10.

25 Software program 26 further obtains system activity information 27 from network monitoring process 24. The system activity information 27 reflects activity in system 10 and is sent to the software program 26 whenever activity occurs. The system configuration information 29  
30 and the system activity information 27 are combined by the software program 26 to obtain operational information, which can be made available to a user or system administrator.

Software program 26 also receives entity status information by interrogating multi media devices in the form of IP telephones 14, 16 and gateway 18. The system configuration information 29 and the system activity  
5 information 27 are used to determine the address of the device to interrogate.

### **System Activity Information**

There are three main groups of system activity  
10 information 27 obtained by the network monitoring process 24:

- Signalling Path Information
- Media Path Information
- Multi-media Device Status Information

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#### *Signalling Path Information*

Whenever a call attempt is made from a device a signalling connection in some sense is created between the device and the relevant system controller 20. The NMP  
20 detects any new call attempts and creates a call leg object to represent the lifetime of the call from the originating end. Similarly whenever a device answers a call a signalling connection is created between it and the system controller 20 and the NMP creates a call leg object  
25 to represent the lifetime of the call from the answering end.

IP phones and gateways use several different signalling standards (otherwise known as protocols) to communicate with the system controller 20. IP phones use  
30 the SCCP protocol and gateways use the MGCP and H.323 protocols. The NMP parses these protocols and from them obtains the following call leg information:

- Device Name (protocol dependant)

- Device IP Address
- Call Reference Number
- Calling Party Number
- Called Party Number

5

#### *Media Path Information*

From the device signalling the following information is obtained about the media path:

- Voice Compression Standard
- 10 • RTP IP addresses and ports

This information is stored in the call leg object that was created for the call attempt.

#### *Multi-media Device Status Information*

15 From the device signalling the following information is obtained about the status of the multi-media device:

- Registration Failure Cause
- Off-Hook Count
- On-Hook Count
- 20 • Delay-To-Dial-Tone

#### **Entity Status Information**

The multi media devices connected to LAN 12 contain entity status information 30 that can be obtained by  
25 interrogating the particular device, and receiving a response from the device. Examples of Multi-media Device data sources are:

- HTML Pages from IP phones
- SNMP MIB accesses from gateways

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The entity status information 30 that can be obtained from direct interrogation of multi-media devices includes:

- List of interfaces
- Interface types (FXO, FXS, E&M, PRI, CAS)
- Interface status (Up/Down)
- Voice Compression Standards
- 5 • Quality of Service Parameters
- Software version

### **Operational Information**

Software program 26 combines the system configuration  
10 information 29 and the system activity information 27 and  
may further combine the entity status information 30 to  
produce operational information including information  
pertaining to call legs 32, calls 34, devices 36, quality  
of service 38 and route availability 40 as will now be  
15 described.

#### *Call Legs*

The call leg objects created by the NMP are matched  
to the device that created the call leg by directly  
20 indexing off the device name or comparing the IP address  
that the call leg was sourced from to the IP addresses of  
the devices according to the system configuration  
information 29. Referring to Figure 4, the operational  
information produced includes:

- 25 • Device Name 40
- Device IP Address
- Device RTP IP Address
- Device RTP UDP Port
- Destination RP IP Address
- 30 • Destination RTP UDP Port
- Call Reference 41
- Called Party Number

- Calling Party Number
- Call Type 42 (Answering/Originating)
- Call Start Time 44
- Call Duration 46
- 5 • Voice Compression Standard
- Protocol Type (SCCP/MGCP/H.323)

### *Calls*

A call is said to exist when two call legs with  
10 matching RTP IP addresses and ports are identified,  
indicating that two multi-media devices have exchanged  
information that allows them to transport voice and/or  
video information to each other. It is possible to obtain  
information on all of the calls present within the packet  
15 switched network at any given time and on any given multi-  
media device. Referring to figure 5, the information  
produced includes:

- Call Reference 41
- Originating Device Name 50
- 20 • Answering Device Name 52
- Called Party Number 56
- Calling Party Number
- Call Start Time 44
- Call Stop Time
- 25 • Call Direction 58 (Incoming/Outgoing/Internal/Trunked)

### *Devices*

In order to render the information about current  
calls meaningful a list of multi-media devices that can be  
30 called is required. By combining the system configuration  
information 29 obtained from the system controller 20 with  
the entity status information 30 obtained from

interrogating the devices and the system activity  
information 27 the following device information can be  
provided:

- Device Name 40
- 5 • Device Type
- Protocol Type
- IP Address
- Device Description
- Slot Number
- 10 • Subunit Number
- Port Number
- Channel Number (only relevant for bearer channels within  
PRI and CAS spans)
- Status (Up/Down)
- 15 • Voice Interface Card Name
- Delay-to-dial-tone

#### *Quality of Service*

Quality of Service parameters and Voice Compression  
20 Standard figures for a particular call in progress are  
obtained by interrogating the multi-media devices involved  
in the call. These devices are identified by the  
information produced in relation to calls as described  
above. Quality of service is calculated to ITU G-107 or  
25 P.862 standards.

In certain instances, a monitoring device may be  
employed to monitor the quality of service experienced by  
a particular multi-media device. In this case, the  
monitoring device may be interrogated to determine  
30 information relating to quality of service. Referring to  
Figure 6, real time quality of service information is  
illustrated for a particular IP telephone. The quality of



service parameters include jitter and lost packets.

#### *Route Availability*

It is highly desirable to determine the availability  
5 of service within the packet switched network. This is  
most effectively done by calculating the availability for  
various patterns of phone numbers, otherwise known as  
route patterns. High availability means that nearly all  
of the devices assigned to route calls matching that  
10 pattern are operational; low availability means that few  
of the devices assigned to route calls matching that  
pattern are operational.

The system configuration information 29 includes  
details of the rules the system uses for routing calls by  
15 the called party number. By combining this information  
with the entity status information 30 by cross checking, a  
list of route patterns and their availability is produced.  
Referring to figure 7, the information produced includes:

- Route Pattern 70
- 20 • Destination Name 72
- Type 74 (MGCP Gateway/MGCP Endpoint/H.323 Gateway/Route  
List)
- Status 76 (Up/Down)

25 Referring to Figure 8, a list of endpoints of a  
gateway are listed.

The operational information output by software  
program 26 can be presented graphically on a display  
30 screen. Alternatively, the system can be configured to  
send an alert message to a system administrator if a  
particular element of the operational information crosses  
a pre-determined threshold. For instance, if call quality

drops below a set level the system administrator may be alerted to take action to rectify the situation. Still further, control means may be provided to take action automatically, based on the operational information, to  
5 control operation of the system.

The various elements of information may be organised and presented to a user to provide an overview of the system. This can serve as a monitoring and diagnostic  
10 tool. Referring to figure 9, an overview is presented which includes operational information including calls active 90, calls attempted 92, calls by category 94, H.323 calls in progress 96, lines in use 97 and call direction for phones 98. Referring to figure 10, an overview is  
15 presented which includes operational information including delay to dialtone all calls 100, acceptable calls 102, worst 10 calls 104 and unacceptable calls 106.

The method of the invention is particularly suitable  
20 for providing operational information regarding the IP telephony system produced by Cisco Systems and sold under the trade name CallManager.

Whilst this invention has been described by reference to an IP telephony system it has application to other  
25 systems where communication between entities is established across a network.

Whilst the software program 26 has been described above as running on its own dedicated networked computer, it could run on a computer that forms part of system  
30 controller 20.

Where methods and apparatus of the present invention may be implemented by software applications, or partly implemented by software, then they may take the form of

program code stored or available from computer readable media, such as CD-ROMS or any other machine readable media, the program code comprising instructions which, when loaded onto a machine such as a computer, the machine  
5 then becomes an apparatus for carrying out the invention. The computer readable media may include transmission media, such as cabling, fibre optics or any other form of transmission media.

It will also be appreciated that, where methods and  
10 apparatus of the present invention are implemented by computing systems, or partly implemented by computing systems, then any appropriate computing system architecture may be utilised. This will include stand-alone computers, networked computers, and dedicated  
15 computing devices. Where the terms "computing system" and "computing device" are used, then these terms are intended to cover any appropriate arrangement of computer hardware for implementing the function.

Any reference to prior art contained herein is not to  
20 be taken as an admission that the information is common general knowledge, unless otherwise indicated.

Finally, it is to be appreciated that various alterations or additions may be made to the parts previously described without departing from the spirit or  
25 ambit of the present invention.

### **Glossary of Acronyms**

- E1: A European standard for a digital connection between customer premises equipment and the local exchange. The E1 bit rate is 2.048 Mbit/s.
- 5 FXO: Foreign Exchange Office. This is U.S. terminology for the exchange end of an analogue telephone line.
- FXS: Foreign Exchange Station. This is U.S. terminology for the subscriber end of an analogue telephone line.
- H.323: ITU Standard for packet switched multi-media  
10 calls.
- IP: Internet Protocol. Part of the TCP/IP protocol suite.
- ISDN: Integrated Services Digital Network.
- LAN: Local Area Network.
- 15 MGCP: Media Gateway Control Protocol.
- MIB: Management Information Base. This is an abstract database resident within a managed network node that is accessed via SNMP.
- NMD: Network Monitoring Driver.
- 20 NMP: Network Monitoring Process.
- PRI: Primary Rate ISDN. This is an ISDN connection that is maintained over an E1 or a T1 span.
- PSTN: Public Switched Telephone Network.
- Q.931: ITU Standard for telephony signalling. This  
25 was originally designed for ISDN signalling but has been extended for use in H.323 packet switched calls.
- RTP: Real-time Transport Protocol. A protocol used to transmit voice information on a packet switched network.
- SCCP: Skinny Client Control Protocol.
- 30 SNMP: Simple Network Management Protocol.
- T1: A U.S. standard for a digital connection between customer premises equipment and the local exchange. The T1 bit rate is 1.544 Mbit/s.